

Nonlinear W_{oo} Algebra
as Asymptotic Symmetry
of AdS₃ Higher Spin
Gauge Theory

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Higher Spin Gravity Theory

* $s \geq 2$

* [Aragone + Deser] 1979]

minimal coupling is inconsistent
in flat space for $s > 3/2$

why?

$\delta(\text{higher spin}) \simeq (\text{Riem}) * (\dots) + \dots$

$\delta(\sqrt{-g} R) \simeq (\nabla \cdot \text{Ricci}) + \dots$

under higher spin gauge transf.

can NOT cancel each other


* ways out

- [Vasiliev] put on (A)dS space

- [Aragone + Deser] $d = (2+1)$

$(\text{Weyl})_{d=3} = 0$ identically

Notes on HS theory

* field $\varphi_{(\mu_1 \dots \mu_s)}$ 

* parameter $\xi_{(\mu_1 \dots \mu_{s-1})}$

* free eqn of motion: ($\Lambda = 0$)

$$\square \varphi_{(\mu_1 \dots \mu_s)} - \partial_{(\mu_1} \partial^{\lambda} \varphi_{\lambda \mu_2 \dots \mu_s)}$$

$$+ \partial_{(\mu_1} \partial_{\mu_2} \varphi_{\mu_3 \dots \mu_s)} \lambda^{\lambda} = 0$$

* gauge orbits

$$\delta \varphi_{(\mu_1 \dots \mu_s)} = \partial_{(\mu_1} \xi_{\mu_2 \dots \mu_s)}$$

$$\xi_{\mu_1 \dots \mu_{s-1}} \lambda^{\lambda} = 0$$

* [Fronsdal] if $\varphi_{\mu_1 \dots \mu_{s-1}} \nu^{\lambda} = 0$,

$$S = \frac{1}{2} \int \varphi_{(\mu_1 \dots \mu_s)} [(\mathbb{E} \circ M)_{\mu_1 \dots \mu_s}$$

$$- \frac{1}{2} \eta_{\mu_1 \mu_2} (\mathbb{E} \circ M)_{\mu_3 \dots \mu_s} \lambda^{\lambda}]$$

* modification with $\Lambda < 0$

- covariantize $\partial_\mu \rightarrow \nabla_\mu$ (AdS)

- nonzero curvature

$$[\nabla_\mu, \nabla_\nu] V_\rho = R_{\mu\nu\rho\sigma} V^\sigma \sim \mathcal{O}(\frac{1}{L^2})$$

$$\therefore (EOM)|_{\Lambda < 0}$$

$$= (EOM)|_{\Lambda = 0} - \frac{1}{L^2} (Mass)$$

where

(Mass)

$$= [S^2 + (D-6)S - 2(D-3)] \varphi_{(M_1 \dots M_S)}$$

$$+ 2 \int_{(M_1 M_2} \varphi_{M_3 \dots M_S) \lambda}^x$$

- action is the same

Frame description of HS fields

* $\varphi_{(\mu_1 \dots \mu_s)}$

$$\rightarrow e_{\mu}^{a_1 \dots a_{s-1}} \oplus \omega_{\mu}^{a_1 \dots a_{s-1}}$$

Supplemented by

$$\delta e_{\mu}^{a_1 \dots a_{s-1}} = D_{\mu} \xi^{a_1 \dots a_{s-1}}$$

$$+ \underbrace{\omega_{\mu}^b}_{\uparrow \text{background spacetime}} \omega_b^{a_1 \dots a_{s-1}}$$

\uparrow background spacetime

then

$$\varphi_{(\mu_1 \dots \mu_s)} \equiv \frac{1}{s} \left(\overline{\omega}_{\mu_1}^{a_1} \dots \overline{\omega}_{\mu_{s-1}}^{a_{s-1}} \right)$$

$$\bullet e_{\mu_s}^{a_1 \dots a_{s-1}}$$

Stringy Motivation

* AdS/CFT [Witten '01] [Sundborg '94]

$$g_{\text{str}} (R/l_s) \iff g_{\text{YM}}^2 N = \frac{1}{N^2}$$

$$\left(\frac{R}{l_s}\right) = \left(g_{\text{YM}}^2 N\right)^{1/4} \quad \text{AdS}_5/\text{CFT}_4$$

→ weak 't Hooft coupling means

$$l_s^2/R^2 \gg 1$$

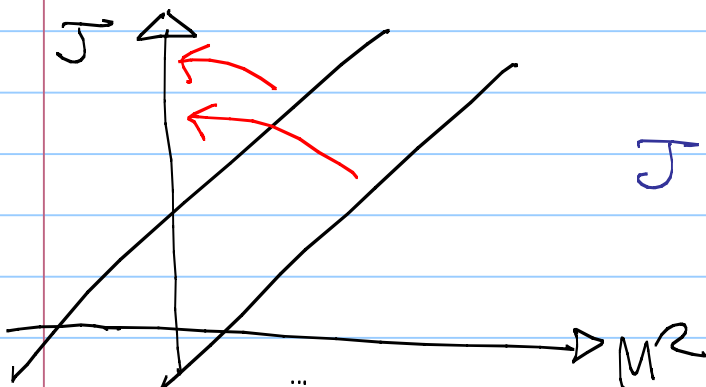
equiv

$$T_{\text{string}} \ll \frac{1}{R^2}$$

∴ tensionless strings in fiducial AdS-space

→ (infinite) tower of

Regge trajectories fall down



$$J = l_s^2 M^2 + J_0$$

* consequences to microstates

- asymptotic symmetries match
between AdS and CFT of
finite dimensionality

(e.g.) AdS₃ / CFT₂

$\text{Vir} \oplus \overline{\text{Vir}}$ as asymptotic symm.

with $c_{\text{CFT}} = 3L/2G_N$

→ what happens to the asymptotic
symmetry as 't Hooft coupling is
lowered?

Answering this requires

quantization of gravity
with effective degrees of freedom

⊕

fate of black holes

In this talk

higher-spin gauge theory

⊂ tensionless string theory



critical string on AdS

Results

For $(2+1)d$ higher spin
AdS gravity with $G = \text{hs}(1, 2; \mathbb{R})$

(i) $G_{\infty} = \text{nonlinear } W_{\infty}$

(ii) $c = 3L / 2G_N$ again

HS C(1, 2; R)

- $\mathbb{M}^1, \mathbb{M}^2$: commuting twistors
of $Sp(2, R)$

- $\mathcal{P}(\mathbb{M}) = \{ \text{polynomials of even} \\ \text{degrees in twistors} \}$

$$- \text{Tr } f(\mathbb{M}) \equiv 2 f(\mathbb{M}) \Big|_{\mathbb{M} \rightarrow 0} = 2 f(0)$$

for $f \in \mathcal{P}$

- $(f * g)(\mathbb{M})$

$$\equiv \exp \left[i \epsilon^{ab} \partial_{\mathbb{M}^a} \partial_{\mathbb{M}^b} \right] f(\mathbb{M}) g(\mathbb{M}') \Big|_{\mathbb{M} \rightarrow \mathbb{M}'}$$

- $[f, g] *$

$$\equiv \frac{1}{2i} [f * g - g * f]$$

$$= \sin \left(\partial_{\mathbb{M}^a} \partial_{\mathbb{M}^b} - \partial_{\mathbb{M}^b} \partial_{\mathbb{M}^a} \right) f(\mathbb{M}) g(\mathbb{M}') \Big|_{\mathbb{M} \rightarrow \mathbb{M}'}$$

$$- (f, g) \equiv \text{Tr } (f * g)$$

then

$$\text{HS } C(1, 2; R) = \mathcal{P} / \mathbb{Z}[3].$$

$S\mathfrak{L}(2, \mathbb{R})$ subalgebra

- $\mathcal{P}_2 = \{\text{degree-2 polynomials}\}$

$$X_{11} = \frac{1}{2}(\xi_1)^2 \quad -e \text{ lowest weight}$$

$$X_{12} = \xi_1 \xi_2 \quad -h$$

$$X_{22} = \frac{1}{2}(\xi_2)^2 \quad f \text{ highest weight}$$

$$[X_{11}, X_{12}] = 2X_{12}$$

$$[X_{11}, X_{22}] = X_{12}$$

$$[X_{12}, X_{22}] = 2X_{12}$$

$$(X_{12}, X_{12}) = 2$$

$$(X_{11}, X_{22}) = -1$$

$$(X_{22}, X_{11}) = -1$$

$\#(\xi_1) = \#(\xi_2)$ for nonzero

bilinear form

hs $Cl_1, 2; \mathbb{R}$) Representations

$$- \text{hs } Cl_1, 2; \mathbb{R}) = \bigoplus_{k \geq 1} \mathcal{D}_k$$

where

$\mathcal{D}_k = \text{spin-}k$ representation via \mathbb{P}_{2k}

- basis

$$X_{(p, q)} \equiv \frac{1}{p!} \frac{1}{q!} (\Sigma_1)^p (\Sigma_2)^q \quad (p+q=2k)$$

- \mathcal{D}_k yields asymptotically

generators M_{k+1} of

spin $S = (k+1)$

(conformal spin = k)

$$\text{(ex) } sl(3, \mathbb{R}) = \{X_{(2)}, X_{(4)}\}$$

$(2 \cdot 1 + 1) + (2 \cdot 2 + 1) = 8$ generators

- 'accidental' closed subalgebra

of $hs Cl_1, 2; \mathbb{R}$)

3d Gravity as Cherns-Simons

- [Achucarro + Townsend]

AdS (super)gravity by CS
gauge theory formulation

$$\mathfrak{G} = \mathfrak{so}(2,2) \cong \mathfrak{so}(2,1) \times \mathfrak{so}(2,1)$$

$S_{\text{grav}}[e]$

$$= S_{\text{CS}}(A) - S_{\text{CS}}(\bar{A})$$

$$S_{\text{CS}}(A) = \frac{k}{4\pi} \int_{M_3} \text{Tr} \left(A \wedge A + \frac{2}{3} A^3 \right)$$

$$k = L/4G_N, \quad (c=6k)$$

$$A \in \mathfrak{so}(2,1), \quad \bar{A} \in \mathfrak{so}(2,1)$$

$$\begin{cases} A = \omega + \frac{1}{L} e \\ \bar{A} = \omega - \frac{1}{L} e \end{cases}$$

- higher-spin generalization [Blencowe]

$$(A, \bar{A}) \Rightarrow (\Gamma, \bar{\Gamma})$$

where

$$\Gamma = \sum_{s=2}^{\infty} A^{a_1 \dots a_{s-1}} \underline{\underline{X_{(s-1)}}}$$

- check: $sl(2, \mathbb{R})$ truncation

$$A = \omega + \frac{1}{L} e \quad ; \quad \bar{A} = \omega - \frac{1}{L} e$$

$$S_{CS} = \frac{1}{8\pi G_N} \int \left(\frac{1}{2} e R + \frac{1}{L^2} e + \dots \right)$$

$$\text{EOM:} \quad de + \omega \wedge e \equiv T = 0$$

$$d\omega + \frac{1}{2} \omega \wedge \omega + \frac{1}{2L^2} e \wedge e = 0$$

- $sl(3, \mathbb{R})$ truncation

$$A_3^{ab} = \omega^{ab} + \frac{1}{L} e^{ab}; \quad \bar{A}_3^{ab} = \omega^{ab} - \frac{1}{L} e^{ab}$$

$$\begin{aligned} \mathcal{L}_{HS3} = & \frac{1}{2} e^a \wedge [\omega^{bc} \wedge \omega^{de} + e^{bc} \wedge e^{de}] \epsilon_{abc} \wedge de \\ & + \frac{1}{2} e^{ab} \wedge [d\omega_{ab} + \omega_a \wedge \omega_b] \end{aligned}$$

EOM:

$$de^2 + 2(e \wedge \omega^2 + \omega \wedge e^2) = 0$$

$$d\omega^2 + 2(\omega \wedge \omega^2 + \frac{1}{L^2} e \wedge e^2) = 0$$

Asymptotic Symmetries

- By inspection & intuition, extend $s=2$ case of $G_{\infty} = \text{Vir} \oplus \overline{\text{Vir}}$
- For $s=2$, asymptotic AdS means $sl(2, \mathbb{R})$ connection behaves

$$A_{s=2} \approx \left[-\frac{2\pi}{k} \cdot \frac{1}{r} L(\phi, t) X_{11} + 1 \cdot r X_{22} \right] dx^{\pm} \\ - \left[\frac{1}{2r} X_{12} \right] dr$$

$$x^{\pm} \equiv (t \pm \phi) \quad \text{at} \quad \partial \text{AdS}_3$$

- radial gauge

$$A_{s=2} \rightarrow \Delta_{s=2} \equiv \Omega \cdot (a + A_{s=2}) \cdot \Omega^{-1}$$

$$\text{with } \Omega = \begin{pmatrix} \sqrt{r} & 0 \\ 0 & -\frac{1}{\sqrt{r}} \end{pmatrix}$$

\leadsto

$$\Delta_{s=2} = \Delta_{+} dx^{\pm} \quad \text{only this part}$$

$$= \left[1 \cdot X_{22} - \frac{2\pi}{k} \cdot L(\phi, t) \cdot X_{11} \right] dx^{\pm}$$

constant \uparrow \uparrow no r -dep.

- $S > 2$ extension

by intuition, working out similar steps.

$$\Delta = [1 \cdot X_{22}$$

highest weight

$$- \frac{2\pi}{R} (L(\phi, t) X_{11} + 12 \cdot M(\phi, t) X_{1111} + \dots)]_{\phi X^{\dagger}}$$

lowest weights

- asymptotic symmetry G_{∞} is the set of bulk gauge transformations that preserve the structure of (radial gauge) connection 1-form.

$$\delta \Delta = \partial_+ \Lambda + [\Delta, \Lambda]$$

$$(i) \quad \Lambda = \Lambda(x^{\dagger}, x)$$

to maintain $\Delta_- = 0$

$$(ii) \quad \Lambda = \varepsilon(x^{\dagger}) X_{22} + \sum_{S \gg 2} \eta_{S+1}(x^{\dagger}) X_{(0,1,2,S)} + \lambda$$

(iii) λ = fixed by asymptotic condition in terms of ε, η_{S+1}

Since x is eliminated as a function of ε , η_{stH} and its fields are linearly dependent on ε , η_{stH} , it turns out gauge transform is field-dependent (through $\lambda(\varepsilon, \eta_{stH})$)

→ gauge algebra becomes
NON LINEAR

Details of Gauge Algebra

- general principle of gauge theory asserts that asymptotic symmetry is generated in equal-time Poisson bracket by

$$G[A] = \int_{M_3} \text{Tr} (A \cdot \mathcal{G}) + S_{00}$$

where

(i) $\mathcal{G} = \mathcal{G}_A = CS$ Gauss' law ;

(ii) $S_{00} =$ Regge-Teitelboim term
to have $\delta G[A]$ no ∂ 's

Recalling $\text{Tr} [(HW)(LW)] \neq 0$

$$G[A] = \oint_{\partial M_3} (\varepsilon \cdot L + \eta_3 M + \dots) \\ + C0 \text{ by on-shell}$$

- with

$$\Delta = X_{22} + \sum_{k \geq 1} N^{(2k, 0)} X_{(2k, 0)}$$

$$\Lambda = \sum_k P^{(0, 2k)} X_{(0, 2k)} \leftarrow (\varepsilon, \eta_{ST}) \text{ part}$$

$$+ \sum_{k, l \neq 0} P^{(l, 2k-l)} X_{(l, 2k-l)}$$

\leftarrow (eventually dependent part)

require

$$\delta \Delta = \delta \Lambda + [\Delta, \Lambda]$$

Solve this recursively in (k, l)

and find

(i) Λ 's are determined by (ε, η_{ST})

(ii) recursion gives another source of nonlinearity

Nonlinear $W(\infty)$

- From $\delta N_{(2k,0)} = \underbrace{C_{(2k,0)}}_{\substack{\int_{\mathbb{R}} \\ \rho_{(0,2k)}}} (\varepsilon, \eta)$

$$G[\Lambda] = \oint \sum_{k=1}^{\infty} \rho_{(0,2k)} N_{(2k,0)}$$

Thus,

$$\begin{aligned} \delta N_{(2k,0)} &= \left\{ N_{(2k,0)}, G[\Lambda] \right\}_{PB} \\ &= \oint \sum_{k'=1}^{\infty} \rho_{(0,2k')} \left\{ N_{(2k,0)}, N_{(2k',0)} \right\}_{PB} \end{aligned}$$

$$\circ \circ C_{(2k,0)} = \sum_{k'} \rho_{(0,2k')} C_{(2k',0)}$$

We can read off

$$\begin{aligned} &\left\{ N_{(2k,0)}, N_{(2k',0)} \right\}_{PB} \\ &= \left(\text{nonlinear} \right. \\ &\quad \left. \text{plus of } N_{(2k',0)} \text{'s} \right) \end{aligned}$$

Claim:

This algebra is nonlinear $W(\infty)$

Why?

① \mathcal{A} contains $V_{in} \oplus \overline{V_{in}}$ with

$$C_2 \approx \frac{k}{4\pi}$$

$$\{L(\phi), L(\phi')\} = -\frac{k}{4\pi} S'''(\phi-\phi') + (L(\phi) + L(\phi'))S'(\phi-\phi')$$

② $M_{s+1} \approx N(2s, 0)$ has conformal weight $(s+1)$ with

$$\{L(\phi), M_{s+1}(\phi')\} = (M_{s+1}(\phi) + s M_{s+1}(\phi'))S'(\phi-\phi')$$

The rest of algebra is all fixed by closure

Check-point : W(3)

$$-\Delta = X_{22} - \frac{2\pi}{k} (L X_{11} + 12 M X_{111})$$

$$\Lambda = a X_{11} + b X_{12} + \varepsilon X_{22} + \eta X_{2222} \\ + m X_{1111} + n X_{1112} + p X_{1122} + q X_{2222}$$

field-dependent, source of nonlinearity

- equating each sides of

$$\Delta + \delta\Delta = \partial_t \Lambda + [\Delta, \Lambda],$$

$$b = \frac{1}{2} \varepsilon', \quad a = \frac{1}{2} \varepsilon'' - \frac{2\pi}{k} \varepsilon \cdot L - 2 \cdot \frac{2\pi}{k} \eta \cdot M$$

.....

$$\delta L = -\frac{k}{4\pi} \varepsilon''' + (L\varepsilon)' + (\varepsilon'L) \\ + 2(nM)' + (n'M)$$

$$\delta M = \frac{1}{288} \cdot \frac{k}{2\pi} \eta'''' + \dots$$

$$\rightarrow \{L(\phi), L(\phi')\} = -\frac{k}{4\pi} \delta''' + (L(\phi) + L(\phi')) \delta'$$

$$\{L(\phi), M(\phi')\} = (M(\phi) + 2M(\phi')) \delta'$$

$$\{M(\phi), M(\phi')\} = \frac{1}{288} \frac{k}{2\pi} \delta^{(5)} - \frac{\delta}{144} (L + L') \delta^{(3)} \\ + \frac{1}{48} (L'' + L'') \delta' + \frac{1}{9} \frac{2\pi}{k} (L^2 + L'^2) \delta$$

Fourier - mode decomposing

$$[L_m, L_n] = (n-m) L_{m+n} + \frac{c}{12} m(m^2-1) \delta_{m+n}$$

$$[L_m, V_n] = (2m-n) V_{m+n}$$

$$[V_m, V_n] = \frac{c}{360} m(m^2-1)(m^2-4) \delta_{m+n}$$

$$+ \frac{16}{5c} (m-n) \Lambda_{m+n}$$

$$+ (m-n) \left[\frac{1}{15} (m+n+2)(m+n+3) \right. \\ \left. - \frac{1}{6} (m+2)(n+2) \right] L_{m+n}$$

where

$$\Lambda_{m+n} = \sum_{n=-\infty}^{\infty} L_{m-n} \cdot L_n$$

... this is classical ($\hbar c+22 \rightarrow \hbar c$)

limit of Zamolodchikov's W_3

algebra $\frac{1}{6}$

- Many features persist in
higher-dimensional contexts

[cf. A. Jevicki's talk]

except concrete identification
of $W(\infty)$ symmetry algebra

Discussions

- $w(\infty)$ as asymptotic symmetry of AdS_3 higher spin gauge theory
- susy extensions interesting & nontrivial

$$sl(2) \subset osp(n, 2) \subset shs(n, 2; \mathbb{R})$$

$$sl(2) \subset hs(2, \mathbb{R})$$

$shs(n, 2; \mathbb{R})$

no $sl(3)$ closed

subalgebra exists

- dual $CFT_2 \cong (D1-D5-P)$ system
at weak coupling

\Rightarrow finite truncation to minimal
model also interesting [Gopakumar
Gaberdiel]

- understanding 3-pt function:
is feasible from HS viewpoint

- $c = 3L/2G_N$ is independent
of 't Hooft coupling

This implies that HS global
symm. enhancement does NOT
require extra d.o.f.

- HS black hole descriptions of each
microstates should exist

--- ER BH uniqueness theorem
is not applicable to HS theory

- understanding transition from
tensionless strings to HS gauge
theory imminent

