

# Higher Spin AdS Duality from CFT: a constructive approach

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June 10, 2011

# OVERVIEW

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- ▶ Large  $N$  expansion implemented through the AdS/CFT correspondence is at present our main tool for understanding the gauge/string duality
- ▶  $N=4$  SYM: gives exact results summarized by the Bethe ansatz
- ▶  $g=0$ : Tensionless string [Sundborg '94; Witten '01; Sezgin & Sundell '02]
- ▶ Vector models, at critical points, result in  $\text{AdS}_4/\text{CFT}_3$  correspondence [Klebanov & Polyakov '02] with pure AdS spacetime

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- ▶ As a result, the duality is not restricted to Gauge/String theories
  - ▶ Higher Spin Gravity [Vasiliev '80-'90]
  - ▶ Agreement of boundary correlation functions:  
This has been demonstrated by Giombi and Yin ['09,'10]
  - ▶ The model (free CFT) gives us the simplest example of AdS/CFT correspondence: in depth study

# Talk:

- ▶ Present a direct construction of AdS<sub>4</sub> HS gravity from field theory

S. Das, AJ '03;

R. de Mello Koch, K, Jin, AJ, J. Rodrigues '10

- ▶ The construction is based on the notion of collective fields

conformal operators

$$\{O_I\} \subset \{\Phi_C\}$$

collective fields: Bi-local

- ▶ Represents a direct change of variables

$$Z = \int \prod_{i=1}^N d\phi^i(x) e^{-S[\phi]} = \int d\Phi_c e^{-\kappa \bar{S}[\Phi_c]} \quad \Phi_c = \phi(x) \cdot \phi(y)$$

$\kappa = N$  : vector model

$\kappa = N^2$  : matrix model

- ▶  $\bar{S}[\Phi_c]$  an effective action for  $\Phi_c$  can be explicitly constructed and will be used to give a complete description of AdS space-time (with the extra dimension and interactions)

▶ This construction provides a theoretical laboratory for further studies of AdS/CFT:

1. Origin of the extra AdS dimension
2. Locality of emerging AdS space-time
3. Bulk interactions in AdS space-time
4. Black hole solutions and formation
5. Finite  $N$  cutoff: exclusion principle

▶ Re: Origin of the extra dimension

1. Holographic framework: in AdS/CFT it is used to motivate the procedure of using CFT data (correlation functions) at the  $z=0$  boundary of AdS space-time
2. The direct (collective) field construction for reaching the points and interactions in the bulk should be compared with:  
Holographic renormalization group [Douglas, Mazzucato, Razamat; S.S. Lee; H. Liu]

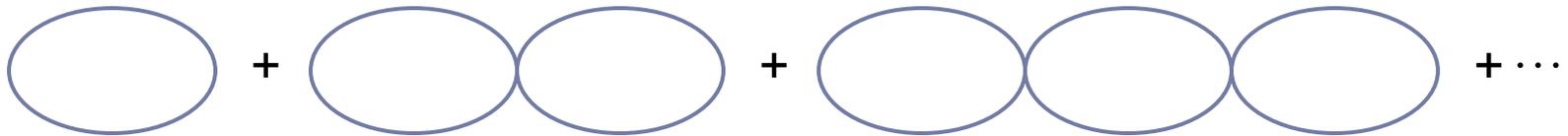
# I. CFT<sub>3</sub>: the vector model

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- ▶ Vector model in 3 dimensions:

$$\mathcal{L} = \frac{1}{2} \partial_\mu \vec{\phi} \cdot \partial \vec{\phi} + \frac{1}{2} m^2 \vec{\phi} \cdot \vec{\phi} - \frac{\lambda}{4} (\vec{\phi} \cdot \vec{\phi})^2$$

- ▶ Large N: bubble diagrams



$$N \rightarrow \infty \quad \lambda N \text{ - fixed}$$

- ▶ Two conformal (critical) theories:

- Free theory:  $\lambda = 0$       UV
- Interacting:  $\lambda \neq 0$       IR

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▶ **Connected two-point functions**

$$\langle \tilde{\zeta}(k_1) \tilde{\zeta}(k_2) \rangle = \frac{\delta(k_1 + k_2)}{8|k_1|} \left[ 1 - \frac{1}{8|k_1|} \frac{2\lambda}{1 + \frac{2\lambda}{8|k_1|}} \right] = \frac{\delta(k_1 + k_2)}{\lambda + 8|k_1|}$$

▶ **UV fixed point: conformal dimension=1**

$$\langle \tilde{\zeta}(k_1) \tilde{\zeta}(k_2) \rangle = \frac{\delta(k_1 + k_2)}{8|k_1|}$$

▶ **IR fixed point: conformal dimension=2**

$$\langle \tilde{\zeta}(k_1) \tilde{\zeta}(k_2) \rangle = \delta(k_1 + k_2) \left[ \frac{1}{\lambda_0 \Lambda} - \frac{8|k_1|}{\lambda_0 \Lambda^2} + \mathcal{O}\left(\frac{|k_1|^2}{\Lambda^3}\right) \right]$$

# Critical point $\Rightarrow$ conserved currents:

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## ► Free theory:

Makeenko '81; Mikhailov '02  
Braun, Korchemsky, Muller '03

$$J_{\mu_1 \dots \mu_s} = \sum_{k=0}^s (-1)^k \binom{s-1/2}{k} \binom{s-1/2}{s-k} \partial_{\mu_1} \dots \partial_{\mu_k} \phi \partial_{\mu_{k+1}} \dots \partial_{\mu_s} \phi - \text{traces}$$

can be packed into a generating function

$$\mathcal{O}(\vec{x}, \vec{\epsilon}) = \phi^i(x - \epsilon) \sum_{n=0}^{\infty} \frac{1}{(2n)!} (2\epsilon^2 \overleftarrow{\partial}_x \cdot \overrightarrow{\partial}_x - 4(\epsilon \cdot \overleftarrow{\partial}_x)(\epsilon \cdot \overrightarrow{\partial}_x))^n \phi^i(x + \epsilon)$$

where  $\epsilon^2 = 0$  corresponds to traceless condition.

Coupling to Higher-Spin: **Bekaert**

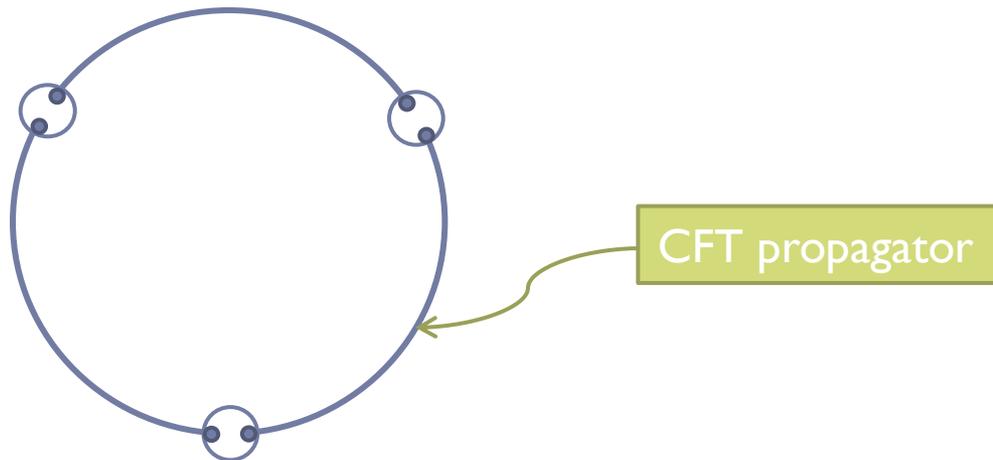
# Three-point function:

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- ▶ **Giombi-Yin** calculated three-point function

$$\langle \mathcal{O}_{\epsilon_1}(x_1) \mathcal{O}_{\epsilon_2}(x_2) \mathcal{O}_{\epsilon_3}(x_3) \rangle$$

corresponds to the diagram:



Exact agreement with  $z=0$  Vasiliev's theory amplitudes.

# Collective dipole representation:

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- ▶ **Exact** construction

- ▶ Change from field  $\vec{\phi}(x) = (\phi_1, \phi_2, \dots, \phi_N)$  to the bilocal field:

$$\Phi(x, y) = \underbrace{\phi(x)}_{3d} \cdot \underbrace{\phi(y)}_{3d} = \sum_{a=1}^N \phi^a(x) \phi^a(y) \quad \text{O(N) invariant}$$

- ▶ Represents a more general set than the conformal fields:

$$\mathcal{O}(x, \epsilon) \quad \epsilon^2 = 0$$

$\uparrow \quad \uparrow$   
 $3d + 2d$

# The collective (effective) action:

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- ▶ Partition function:

$$Z = \int [d\phi^i(x)] e^{-S[\phi]} = \int \prod_{x,y} d\Phi(x,y) \mu(\Phi) e^{-S_c[\Phi]}$$

- ▶ The collective(effective) action:

$$S_{eff} = \text{Tr}[-(\partial_x^2 + \partial_y^2)\Phi(x,y) + m^2\Phi(x,y) + V] + \frac{N}{2} \text{Tr} \ln \Phi$$

- ▶ Origin of the  $\ln \Phi$  interaction: **Jacobian**

$$\int d\vec{\phi} e^{-S} \rightarrow \int d\Phi \det \left| \frac{\partial \phi^a(x)}{\partial \Phi(x_1, x_2)} \right| e^{-S}$$

- ▶ The measure:

$$\mu(\Phi) = (\det \Phi)^{V_x V_p} \quad \begin{array}{ll} V_x = L^3 & \text{space} \\ V_p = \Lambda^3 & \text{momentum cutoff} \end{array}$$

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- ▶ Definition of trace

$$\text{Tr} B = \int d^3x B(x, x)$$

- ▶ Star product

$$(\Psi * \Phi)(x, y) = \int dz \Psi(x, z) \Phi(z, y)$$

- ▶ **Tr** and **Det** are natural in bi-local theory.

# Properties of the collective field reformulation:

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- ▶ Same partition function
- ▶ Collective field is more general than the conformal fields

$$\mathcal{O}(\vec{x}, \vec{\epsilon}) \subset \Phi(\vec{x}, \vec{y})$$

$3 + 2$                        $3 + 3$

**➡ Bulk (AdS<sub>4</sub>) representation**

- ▶ AdS<sub>4</sub> HS gravity coupling constant:

$$g = \frac{1}{\sqrt{N}}$$

# Expansion

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- ▶ Equation of motion:

$$\frac{\partial S_c}{\partial \Phi} = 0 \Leftrightarrow -(\partial_x^2 + \partial_y^2)\delta(x - y) + \frac{\lambda}{2}\Phi(x, y) + N\frac{1}{\Phi(x, y)} = 0$$

- ▶  $1/N$  expansion parameter:

$$\Phi = \Phi_0 + \frac{1}{\sqrt{N}}\eta$$

$$S_c = S[\Phi_0] + \text{Tr}[\Phi_0^{-1}\eta\Phi_0^{-1}\eta] + \frac{g}{4}\eta\eta \quad B = \Phi_0^{-1}\eta$$
$$+ \frac{1}{\sqrt{N}}\text{Tr}[\Phi_0^{-1}\eta\Phi_0^{-1}\eta\Phi_0^{-1}\eta] + \sum_n N\left(\frac{1}{\sqrt{N}}\right)^n \text{Tr}(B^n)$$

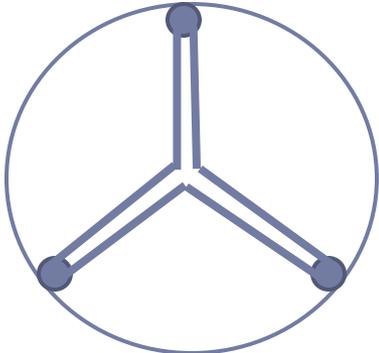
- ▶  $S_c$ -**exact**: Reproduces all invariant correlators

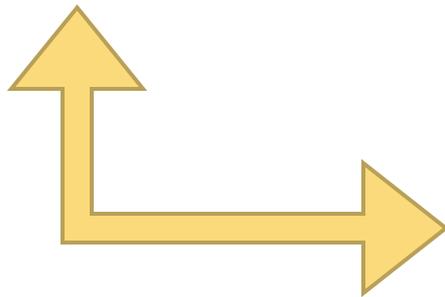
$$\langle \phi(x_1) \cdot \phi(y_1) \phi(x_2) \cdot \phi(y_2) \cdots \phi(x_n) \cdot \phi(y_n) \rangle$$

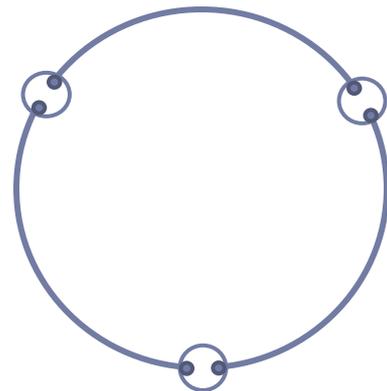
# Topology: Witten diagram! (bulk AdS)

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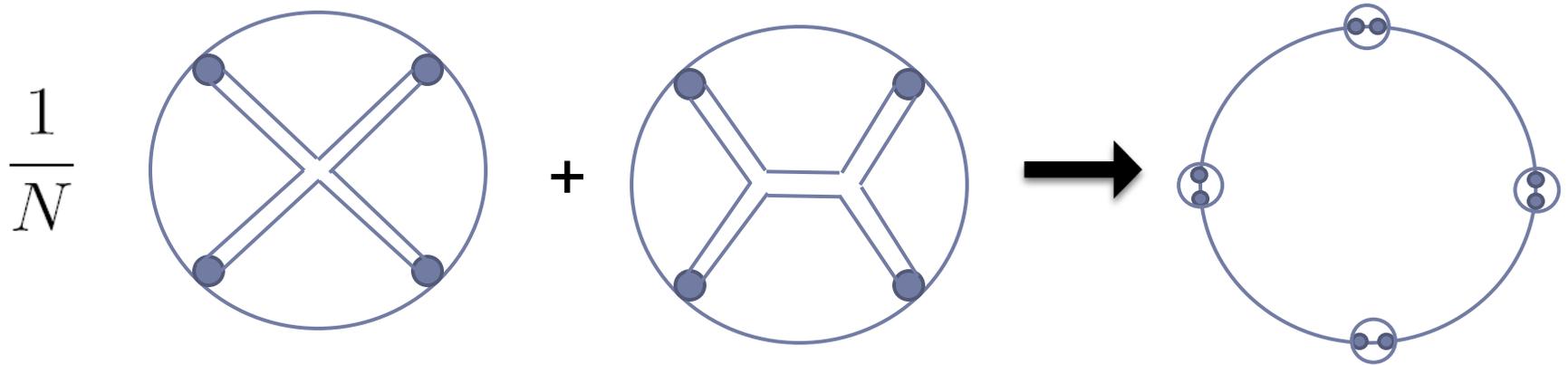
1   $\langle \Phi(x_1, y_1) \Phi(x_2, y_2) \rangle$  Propagator

$\frac{1}{\sqrt{N}}$    $\langle \Phi(1) \Phi(2) \Phi(3) \rangle$  Collective Field Theory



 Field Theory

# Four-point function: $\langle \Phi(1)\Phi(2)\Phi(3)\Phi(4) \rangle$



**Need to demonstrate**

$$(x_1^\mu, x_2^\mu) \leftrightarrow AdS_4(x^\mu, z)$$

3+3 dimensions

4 dimensions

Bi-local space-time

+ Higher-Spin fields

# Physical gauge:

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- ▶ To establish a one-to-one relationship with the HS theory in 4d AdS space-time, we will use a physical (axial) picture
- ▶ Hamiltonian version
- ❖ Hidden gauge symmetry
- ❖ Relativistic dipole:  $(x_1^\mu, x_2^\mu)$

$$L_0 = p_\mu^2 + a_\mu^\dagger a^\mu, \quad L_1 = p^\mu a_\mu, \quad L_{-1} = p^\mu a_\mu^\dagger$$

- ❖ Allows a single time gauge fixing

$$x_1^0 = x_2^0 = t$$

- ❖ and a Hamiltonian formulation

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- ▶ The bi-local fields  $\Phi_c(x, y)$  has a **one-time** description.
  - ▶ Canonical collective field representation:  
Equal-time fields

$$\Psi(t; \vec{x}, \vec{y}) = \sum_a \phi^a(t, \vec{x}) \cdot \phi^a(t, \vec{y})$$

Conjugate momenta:

$$\Pi(\vec{x}, \vec{y}) = -i \frac{\delta}{\delta \Psi(\vec{x}, \vec{y})}$$

- ▶ The Hamiltonian is given as

$$H = 2\text{Tr}(\Pi\Psi\Pi) + \frac{1}{2} \int [-\nabla_x^2 \Psi(\tilde{x}, \tilde{y})|_{\tilde{x}=\tilde{y}}] + \frac{N^2}{8} \text{Tr}\Psi^{-1}$$

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- ▶ **Scaling of N:**  $\Psi \rightarrow N\Psi, \Pi \rightarrow \Pi/N$  and the expansion parameter is  $1/N$ .
  - ▶ **1/N expansion**

$$\Psi(x, y) = \psi_0(x, y) + \frac{1}{\sqrt{N}} \hat{\eta}(x, y), \quad \Pi = \sqrt{N} \hat{\pi}$$

- ▶ An infinite series is generated by the expansion

$$\text{Tr}\Psi^{-1} = \text{Tr}\psi_0^{-1} + \sum_{n=1}^{\infty} \frac{(-1)^n}{N^{\frac{n}{2}}} \text{Tr}(\psi_0(\hat{\eta}\psi_0)^2)$$

- ▶ The cubic vertex

$$H_3 = \frac{2}{\sqrt{N}} \text{Tr}(\hat{\pi} \hat{\eta} \hat{\pi}) - \frac{1}{8\sqrt{N}} \text{Tr}(\psi_0 \hat{\eta} \psi_0 \hat{\eta} \psi_0 \hat{\eta} \psi_0)$$

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▶ Quadratic Hamiltonian:

$$H^{(2)} = 2\text{Tr}(\hat{\pi}\psi_0\hat{\pi}) + \frac{1}{8}\text{Tr}(\psi_0\hat{\eta}\psi_0\hat{\eta}\psi_0)$$

is diagonalized in momentum space:

$$H^{(2)} = \frac{1}{2} \sum_{k_1 k_2} \pi_{k_1 k_2} \pi_{k_1 k_2} + \frac{1}{8} \sum_{k_1 k_2} \eta_{k_1 k_2} (\psi_{k_1}^{0-1} + \psi_{k_2}^{0-1})^2 \eta_{k_1 k_2}$$

▶ Spectrum

$$\omega_{k_1, k_2} = \frac{1}{2} \psi_{k_1}^{0-1} + \frac{1}{2} \psi_{k_2}^{0-1} = \sqrt{k_1^2} + \sqrt{k_2^2}.$$

## Equivalently:

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- ▶ Quadratic Hamiltonian and momentum can be written in terms of bi-local fields as

$$H^{(2)} = \int d\vec{x}d\vec{y}\psi^\dagger(\vec{x}, \vec{y})\left(\sqrt{-\nabla_x^2} + \sqrt{-\nabla_y^2}\right)\psi(\vec{x}, \vec{y}),$$

$$P^{(2)} = \int d\vec{x}d\vec{y}\psi^\dagger(\vec{x}, \vec{y})(\partial_{\vec{x}} + \partial_{\vec{y}})\psi(\vec{x}, \vec{y}).$$

- ▶ Using light-cone quantization, we have:

$$P_{(2)}^- = H_{(2)} + P_{(2)} = \int dx_1^- dx_2^- dx_1 dx_2 \psi^\dagger\left(-\frac{\nabla_1^2}{2p_1^+} - \frac{\nabla_2^2}{2p_2^+}\right)\psi$$

# Conformal group

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- ▶ To establish a 1-1 map to HS AdS<sub>4</sub> theory, we will concentrate on the realization of the conformal group
- ▶ In light-cone quantization:  $x^+ = \tau$  is the propagating time

$$\phi(x^-, x^i) = \int_0^\infty \frac{dp^+}{\sqrt{2\pi}} \frac{1}{\sqrt{2p^+}} \left( a(p^+, x^i) e^{ip^+ x^-} + a^\dagger(p^+, x^i) e^{-ip^+ x^-} \right),$$

(In d=3, we have only one transverse coordinate:  $x^i = x$  )

$$x^\mu = (x^+, x^-, x) = (\tau, x^-, x)$$

# Under conformal transformation:

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$$P^- : \quad \delta a(p^+, x^i) = \frac{\partial_i^2}{2p^+} a(p^+, x^i),$$

$$M^{+-} : \quad \delta a(p^+, x^i) = \left( t \frac{\partial_i^2}{2p^+} - i \sqrt{p^+} \frac{\partial}{\partial p^+} \sqrt{p^+} \right) a(p^+, x^i)$$

$$M^{-i} : \quad \delta a(p^+, x^i) = \left( -\partial_i \frac{\partial}{\partial p^+} - \frac{\partial_j x^i \partial_j}{2p^+} \right) a(p^+, x^i)$$

$$D : \quad \delta a(p^+, x^i) = \left( t \frac{\partial_i^2}{2p^+} + i \left[ d_\phi + x^i \partial_i + \sqrt{p^+} \frac{\partial}{\partial p^+} \sqrt{p^+} \right] \right) a(p^+, x^i)$$

$$K^+ : \quad \delta a(p^+, x^i) = \left\{ t^2 \frac{\partial_i^2}{2p^+} + it(d_\phi + x^i \partial_i) - \frac{1}{2} x^i x^i p^+ \right\} a(p^+, x^i)$$

- ▶ and similarly for other conformal generators.

## For the bi-local field (dipole):

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- ▶ These induce transformations of the bi-local fields.
- ▶ In creation-annihilation form

$$A(x_1^-, x_2^-, \vec{x}_1, \vec{x}_2) = a(x_1^-, \vec{x}_1)a(x_2^-, \vec{x}_2)$$

we deduce the bi-local transformations as:

$$\delta A(1, 2) = \delta a(1)a(2) + a(1)\delta a(2)$$

giving the bi-local generators:

$$G = \int dx_1^- dx_2^- dx_1 dx_2 A^\dagger \hat{g} A = \int dx_1^- dx_2^- dx_1 dx_2 A^\dagger (\hat{g}_1 + \hat{g}_2) A.$$

with  $\hat{g}_1 + \hat{g}_2$  representing the two-particle “dipole” generators.

They take the form:

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$$\hat{p}^- = p_1^- + p_2^- = -\left(\frac{p_1^i p_1^i}{2p_1^+} + \frac{p_2^i p_2^i}{2p_2^+}\right)$$

$$\hat{m}^{-i} = x_1^- p_1^i + x_2^- p_2^i + x_1^i \frac{p_1^j p_1^j}{2p_1^+} + x_2^i \frac{p_2^j p_2^j}{2p_2^+}$$

$$\hat{d} = t\hat{p}^- + x_1^- p_1^+ + x_2^- p_2^+ + x_1^i p_1^i + x_2^i p_2^i + 2d_\phi$$

$$\hat{k}^+ = t^2 \hat{p}^- + t(x_1^i p_1^i + x_2^i p_2^i + 2d_\phi) - \frac{1}{2} x_1^i x_1^i p_1^+ - \frac{1}{2} x_2^i x_2^i p_2^+$$

with a total of **10** generators operating in the **5d** dipole space:

$$\left(\tau; x_1^-, x_1; x_2^-, x_2\right)$$

## II. HIGHER SPIN THEORY IN $AD\mathcal{S}_4$

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- ▶ A spin  $s$  field in the bulk:  $s$ -symmetric and double traceless tensor

$$h^{\mu_1 \dots \mu_s}, \quad \text{symmetric in } \mu_1, \dots, \mu_s, \quad g_{\mu_1 \mu_2} g_{\mu_3 \mu_4} h^{\mu_1 \dots \mu_s} = 0$$

- ▶ EOM:

$$\nabla_\rho \nabla^\rho h_{\mu_1 \dots \mu_s} - s \nabla_\rho \nabla_{\mu_1} h_{\mu_2 \dots \mu_s}^\rho + \frac{1}{2} s (s - 1) \nabla_{\mu_1} \nabla_{\mu_2} h_{\rho \mu_3 \dots \mu_s}^\rho + 2(s - 1)(s + d - 3) h_{\mu_1 \dots \mu_s} = 0$$

- ▶ Gauge transformations:

$$\delta_\Lambda h^{\mu_1 \dots \mu_s} = \nabla^{\mu_1} \Lambda^{\mu_2 \dots \mu_s}, \quad g_{\mu_2 \mu_3} \Lambda^{\mu_2 \dots \mu_s} = 0$$

Fronsdal

# Vasiliev ('80-'92):

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- ▶ Developed an extension to include interactions.
- ▶ Fields in the HS Lie algebra:

$$\Phi(x|y, \bar{y}, z, \bar{z}) = \sum \phi_{(n,m,n',m')} (x) y^n \bar{y}^m z^{n'} \bar{z}^{m'}$$

- ▶ Star product:

$$f(y, z) * g(y, z) = \int d^2u d^2v e^{u^\alpha v_\alpha} f(y + u, z + u) g(y + v, z - v),$$

- ▶ EOMs:

- (1)  $d_x W + W * W = 0,$
- (2)  $d_Z W + d_x S + \{W, S\}_* = 0,$
- (3)  $d_Z S + S * S = B * K dz^2 + B * \bar{K} d\bar{z}^2,$
- (4)  $d_x B + W * B - B * \pi(W) = 0,$
- (5)  $d_Z B + S * B - B * \pi(S) = 0.$

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► Gauge transformations:

$$\delta W = d\epsilon + [W, \epsilon]_*,$$

$$\delta S = d_Z \epsilon + [S, \epsilon]_*,$$

$$\delta B = B * \pi(\epsilon) - \epsilon * B,$$

► AdS background:

$$W = W_0, \quad S = 0, \quad B = 0.$$

$$W_0 = \omega_0^L + e_0$$

$$\omega_0^L = \frac{1}{8} \frac{dx^i}{z} \left[ (\sigma^{iz})_{\alpha\beta} y^\alpha y^\beta + (\sigma^{iz})_{\dot{\alpha}\dot{\beta}} \bar{y}^{\dot{\alpha}} \bar{y}^{\dot{\beta}} \right],$$

$$e_0 = \frac{1}{4} \frac{dx_\mu}{z} \sigma_{\alpha\dot{\beta}}^\mu y^\alpha \bar{y}^{\dot{\beta}}.$$

# Light-cone Quantization: Metsaev [1999]

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- ▶ The HS fields can be represented in Fock space using creation and annihilation operators  $\alpha^A, \bar{\alpha}^A$

$$|\Phi\rangle = \Phi^{A_1 \dots A_s} \alpha^{A_1} \dots \alpha^{A_s} |0\rangle, \quad \bar{\alpha}^A |0\rangle = 0.$$

- ▶ Restrictions to single spins is done through the constraint

$$\alpha \bar{\alpha} |\Phi\rangle = s |\Phi\rangle, \quad \alpha \bar{\alpha} \equiv \alpha^A \bar{\alpha}^A.$$

- ▶ With the additional double traceless condition

$$(\bar{\alpha}^2)^2 |\Phi\rangle = 0, \quad \bar{\alpha}^2 \equiv \bar{\alpha}^A \bar{\alpha}^A.$$

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▶ Gauge invariant EOM

$$\left([\bar{\alpha}D, \alpha D] - \alpha D \bar{\alpha} D + \frac{1}{2}(\alpha D)^2 \bar{\alpha}^2 - 2\alpha^2 \bar{\alpha}^2 + 2(2s + d - 3)\right)|\Phi\rangle = 0$$

▶ Gauge transformation

$$\delta|\Phi\rangle = \alpha D|\Lambda\rangle \quad \bar{\alpha}^2|\Lambda\rangle = 0.$$

▶ The Lorentz covariant derivative

$$D_\mu \equiv \partial_\mu + \frac{1}{2}\omega_\mu^{AB} M^{AB}, \quad M^{AB} = \alpha^A \bar{\alpha}^B - \alpha^B \bar{\alpha}^A.$$

# Fixing the Light-cone gauge

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- ▶ In four dimensions, the only physical states are the  $\pm s$  helicity states.
- ▶ Starting from the covariant notation

$$|\Phi\rangle = \sum_{s=1}^{\infty} \Phi^{\mu_1 \dots \mu_s} a_{\mu_1}^\dagger \dots a_{\mu_s}^\dagger |0\rangle$$

- ▶ Step 1: drop the oscillators  $a^\pm = a^0 \pm a^d$  and keep only the transverse oscillators  $a^I, a^{\dagger J}$
- ▶ Step 2: further constraint equation

$$T|\Phi\rangle = 0, \quad T = a^I a^I$$

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▶ **Complex oscillators**

$$\alpha = \frac{1}{\sqrt{2}}(a_1 + ia_2), \quad \alpha^\dagger = \frac{1}{\sqrt{2}}(a_1^\dagger + ia_2^\dagger),$$
$$\bar{\alpha} = \frac{1}{\sqrt{2}}(a_1 - ia_2), \quad \bar{\alpha}^\dagger = \frac{1}{\sqrt{2}}(a_1^\dagger - ia_2^\dagger),$$

▶ **Expansion:**

$$|\Phi\rangle = \sum_{\lambda=1}^{\infty} \left( \Phi_{(\lambda)} (\bar{\alpha}^\dagger)^\lambda + \bar{\Phi}_{(\lambda)} (\alpha^\dagger)^\lambda \right) |0\rangle$$

▶ **The constraint equation**

$$T|\Phi\rangle = 0, \quad T = \bar{\alpha}\alpha.$$

▶ **The spin matrix**

$$M = \alpha^\dagger \bar{\alpha} - \bar{\alpha}^\dagger \alpha$$

# Light-cone form of EOM

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- ▶ Through the metric:

$$ds^2 = \frac{2dtdx^- + dx^2 + dz^2}{z^2}$$

- ▶ Light-cone equations of motion

$$\left( z^2(2\partial_+\partial_- + \partial_I^2) + \frac{1}{2}M_{ij}^2 - \frac{(d-4)(d-6)}{4} \right) |\phi\rangle = 0$$

- ▶ From which we deduce the generator

$$P^- = \partial_+ = -\frac{\partial_I^2}{2\partial_-} + \frac{1}{2z^2\partial_-} \left( -\frac{1}{2}M_{ij}^2 + \frac{(d-4)(d-6)}{4} \right)$$

- ▶ Other generators of the space-time  $SO(2,3)$  group can be evaluated similarly.

# First quantized generators

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- ▶ In four dimensions, the only non-vanishing spin matrix is  $M^{xz}$
- ▶ One can represent  $\alpha = e^{i\theta}$ ,  $\bar{\alpha} = e^{-i\theta}$ . so that the operator becomes

$$M^{xz} \longrightarrow \frac{\partial}{\partial \theta}$$

- ▶ The physical field

$$\Phi(x^+, x^-, x, z; \theta)$$

Extra AdS coordinate

HS coordinate

- ▶ Symmetry generators

$$G = \int dx^- dx dz d\theta \bar{\Phi} \hat{g} \Phi$$

# Explicitly:

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$$\hat{p}^- = -\frac{p^x p^x + p^z p^z}{2p^+},$$

$$\hat{m}^{-x} = x^- p^x - x \hat{p}^- + \frac{p^\theta p^z}{p^+}$$

$$\hat{d} = t \hat{p}^- + x^- p^+ + x p^x + z p^z + d_a$$

$$\hat{k}^+ = t^2 \hat{p}^- + t(x p^x + z p^z + d_a) - \frac{1}{2}(x^2 + z^2) p^+$$

.....

### III. ONE-TO-ONE MAP:

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► CFT<sub>3</sub>: collective bi-local fields

AdS<sub>4</sub>: higher spin fields

$$\boxed{\Psi(x^+; (x_1^-, x_1), (x_2^-, x_2))} \longleftrightarrow \boxed{\Phi(x^+; x^-, x, z; \theta)}$$

▪ Same number of dimensions

$$1+2+2 = 1+3+1$$

▪ Representation of the conformal group SO(2,3)

▪ Clear from analysis of the two representations that one does **not** have a coordinate transformation

# Solution: canonical transformation

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- Identifying the generators of the dipole with the generators of HS: gives 10 equations of  $2 \times 4 = 8$  canonical variables

$$x^- = \frac{x_1^- p_1^+ + x_2^- p_2^+}{p_1^+ + p_2^+},$$

$$p^+ = p_1^+ + p_2^+,$$

$$x = \frac{x_1 p_1^+ + x_2 p_2^+}{p_1^+ + p_2^+},$$

$$p^x = p_1 + p_2.$$

$$\theta = 2 \arctan \sqrt{\frac{p_2^+}{p_1^+}}.$$

$$p^\theta = \sqrt{p_1^+ p_2^+} (x_1^- - x_2^-) + \frac{x_1 - x_2}{2} \left( \sqrt{\frac{p_2^+}{p_1^+}} p_1 + \sqrt{\frac{p_1^+}{p_2^+}} p_2 \right).$$

$$z = \frac{(x_1 - x_2) \sqrt{p_1^+ p_2^+}}{p_1^+ + p_2^+},$$

$$p^z = \sqrt{\frac{p_2^+}{p_1^+}} p_1 - \sqrt{\frac{p_1^+}{p_2^+}} p_2,$$

# Poisson brackets

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- ▶ We have the  $\text{AdS}_4$  canonical variables in terms of the dipole canonical variables (of  $\text{CFT}_3$ ).
- ▶ A consistency check on the correctness of the map: **the Poisson brackets take the canonical form.**

- ▶ Assuming

$$\{x_i^-, p_i^+\} = \{x_i, p_i^x\} = 1$$

we verify that

$$\{x^-, p^+\} = \{x, p^x\} = \{z, p^z\} = \{\theta, p^\theta\} = 1$$

- ▶ We have a successful reconstruction of AdS space-time from the bi-local one.

# Question

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- ▶ Since there is no transformation between coordinates of  $\text{AdS}_4$  and bi-local coordinates emerging from  $\text{CFT}_3$ , one has the question how the respective fields are related?

$$\Phi(x^\mu, z; \alpha) \leftrightarrow \Psi(x^+; (x_1^-, x_1), (x_2^-, x_2))$$

- ▶ The relationship between fields:
- ✓ From the canonical transformation we can deduce that there exists a (non-local) kernel  $K$  (AdS/dipole):

$$\Phi(x^\mu, z; \alpha) = \int K(x^\mu, z; \alpha | x_\mu^1, x_\mu^2) \Psi_c(x_1^\mu, x_2^\mu) d^3 x_1 d^3 x_2$$

- ✓ Construction of the kernel is possible

## IV. The Collective Dipole

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- ▶ Two relativistic particle:

$$S = \int d\tau m \sqrt{-\dot{x}_1^2(\tau)} + \int d\tau' m \sqrt{-\dot{x}_2^2(\tau')}$$

- ▶ Equations of motion:

$$p_1^2 + m^2 = 0 \qquad p_2^2 + m^2 = 0$$

- ▶ Center-of-mass frame:

$$P^2 + p^2 + 4m^2 = 0 \qquad P \cdot p = 0$$

- ▶ Elimination of relative time: gauge fix



$$P \cdot x = 0$$

# Solving the constraints:

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- ▶ Canonical transformation

$$P_\mu = P_\mu,$$

$$X^\mu = \tilde{X}^\mu + u^L b^{\mu s} \pi_s - \frac{\pi_L}{P^2} b_s^\mu u^s - u^r \pi_s b_r^\nu \frac{\partial b_\nu^s}{\partial P_\mu} + \frac{u^L \pi_L}{P^2} P^\mu,$$

$$p_\mu = \frac{P_\mu}{P^2} \pi_L + b_\mu^r \pi_r,$$

$$x^\mu = P^\mu u^L + b_r^\mu u^r$$

- ▶ Constraints lead to  $u^L = \pi_L = 0$ .

- ▶ One time:  $\tilde{X}^0 = t$

- ▶ Hamiltonian

$$P_0 = \sqrt{\vec{P}^2 + p^2 + 4m^2}$$

## V. CONSTRUCTION OF THE INTERTWINING MAP

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- ▶ General integral transformation:

$$\Psi(U) = \int dX dP e^{iF(U,P) - iP \cdot X} \Phi(X)$$

where the generating function  $F(U,P)$  satisfies

$$\frac{\partial F}{\partial U} = \Pi(U, P), \quad \frac{\partial F}{\partial P} = X(U, P).$$

- ▶ Saddle points approximation

$$\frac{\partial F}{\partial P} - X = 0 \Rightarrow P = P^*(X, U).$$


$$\Psi(U) = \int dX e^{iF(U, P^*(X, U)) - iP^*(X, U) \cdot X} \Phi(X)$$

# The Liouville example:

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$$\left[ \frac{\partial^2}{\partial t^2} - \left( \frac{\partial^2}{\partial \varphi^2} - e^{-\varphi} \right) \right] \Psi(t, \varphi) = 0 \quad \leftarrow \quad \left[ \frac{\partial^2}{\partial t^2} - \frac{\partial^2}{\partial \sigma^2} \right] \Phi(t, \sigma) = 0$$

▶ **Canonical transformation:**

$$\begin{aligned} \sinh \sigma &= -p_\sigma e^{-\varphi}, \\ \pi_\varphi &= e^\varphi \sqrt{1 + p_\sigma^2 e^{-2\varphi}}. \end{aligned}$$

▶ **Generating function:**

$$F(\varphi, p_\sigma) = -p_\sigma \ln \left( p_\sigma e^{-\varphi} + \sqrt{p_\sigma^2 e^{-2\varphi} + 1} \right) + e^\varphi \sqrt{p_\sigma^2 e^{-2\varphi} + 1}$$

▶ **Integral transformation:**

$$\Psi(t, \varphi) = \int d\sigma \exp(e^\varphi \cosh \sigma) \Phi(t, \sigma)$$

## From bi-local field to HS field:

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- ▶ In the dual (momentum) space:  $(p_1^+, p_2^+, p_1, p_2)$ , one observes the transformation takes the form of a point transformation:

$$p^+ = p_1^+ + p_2^+,$$

$$p^x = p_1 + p_2,$$

$$p^z = p_1 \sqrt{p_2^+ / p_1^+} - p_2 \sqrt{p_1^+ / p_2^+},$$

$$\theta = 2 \arctan \sqrt{p_2^+ / p_1^+},$$

- ▶ Consequently perform a Fourier transform:

$$\tilde{\Phi}(p_1^+, p_2^+, p_1, p_2) = \int dx_1^- dx_2^- dx_1 dx_2 e^{-i(x_1^- p_1^+ + x_2^- p_2^+ + x_1 p_1 + x_2 p_2)} \Phi(x_1^-, x_2^-, x_1, x_2)$$

- ▶ Changing to AdS variables using an inverse transform gives the AdS higher-spin field in terms of the bi-local one:

$$\begin{aligned}
 \Psi(x^-, x, z, \theta) &= \int dp^+ dp^x dp^z e^{i(x^- p^+ + x p^x + z p^z)} \\
 &\int dp_1^+ dp_2^+ dp_1 dp_2 \delta(p_1^+ + p_2^+ - p^+) \delta(p_1 + p_2 - p^x) \\
 &\delta\left(p_1 \sqrt{p_2^+ / p_1^+} - p_2 \sqrt{p_1^+ / p_2^+} - p^z\right) \\
 &\delta\left(2 \arctan \sqrt{p_2^+ / p_1^+} - \theta\right) \tilde{\Phi}(p_1^+, p_2^+, p_1, p_2)
 \end{aligned}$$

# Checking the $z=0$ projection

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- ▶ The bilocal field gives a strong off-shell operator reconstruction of the HS field:  $\Phi = W|_{\text{gauge}}$
- ▶ One check on our identification of the extra AdS coordinate  $z$  is the evaluation of the  $z=0$  limit
- ▶ We expect that they reduce to the conformal operators
- ▶ At  $z=0$ :

$$\Phi(x^+, x^-, x, \theta) = \int dp_1^+ dp_2^+ e^{ix^-(p_1^+ + p_2^+)} \delta(\theta - 2 \tan^{-1} \sqrt{p_2^+ / p_1^+}) \tilde{\psi}(p_1^+, p_2^+, x, x)$$

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- ▶ Expanding the delta function in Fourier series, one has the binomial expansion

$$(\sqrt{p_1^+} - i\sqrt{p_2^+})^{2s} = \frac{(-1)^k (2s)!}{(2k)!(2s - 2k)!} (p_1^+)^k (p_2^+)^{s-k}$$

- ▶ The conformal operators for a fixed spin  $s$  is

$$\mathcal{O}^s = \sum_{k=0}^s \frac{(-1)^k \Gamma(s + 1/2) \Gamma(s + 1/2)}{k!(s - k)! \Gamma(s - k + 1/2) \Gamma(k + 1/2)} (\partial_+)^k \varphi (\partial_+)^{s-k} \varphi$$

- ▶ The expansion coefficients agree up to an overall normalization

$$\frac{(2s)!}{(2k)!(2s - 2k)!} = \frac{s! \Gamma(s + 1/2) \Gamma(1/2)}{k!(s - k)! \Gamma(s - k + 1/2) \Gamma(k + 1/2)}$$

## VI. Covariant gauge: Map

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- ▶ Vasiliev's gauge fields  $W_\mu, S_\alpha, B$  are functions of both space-time and two sets of spinors

$$F(X_{\text{ads}}; y, \bar{y}; z, \bar{z})$$

- ▶ One possible gauge leads to comparison with Fronsdal's formulation

$$\Phi(\underbrace{X_{\text{ads}}}_4; \underbrace{y, \bar{y}}_4)$$

- ▶ Symmetric, double-traceless tensor fields:

$$h_{\mu_1 \dots \mu_s} \quad g^{\mu_1 \mu_2} g^{\mu_3 \mu_4} h_{\mu_1 \mu_2 \mu_3 \mu_4 \dots \mu_s} = 0$$

- ▶ Covariant gauge conditions:

$$\nabla^\mu h_{\mu \mu_2 \dots \mu_s} = 0 \quad g^{\mu_1 \mu_2} h_{\mu_1 \mu_2 \dots \mu_s} = 0$$

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- ▶ Embedding AdS<sub>4</sub> into R<sup>5</sup>:

$$x^\mu \longrightarrow y^\alpha \quad y^\alpha y_\alpha = -1, \quad \alpha = 0, 1, 2, 3, 5$$

- ▶ Higher-spin fields:

$$h_{\mu\dots} = y_\mu^\alpha \cdots k_{\alpha\dots} \quad y_\mu^\alpha = \partial y^\alpha / \partial x^\mu$$

- ▶ Synthesis of all integer spins

$$K(y, z) = \sum_s z^{\alpha_1} \cdots z^{\alpha_s} k_{\alpha_1 \dots \alpha_s}(y)$$

► Summary of all conditions:

	$k_{\alpha_1 \dots \alpha_s}(y)$	$K(y, z)$
transversality	$y \cdot k = 0$	$y \cdot \partial_z K = 0$
gauge condition	$\partial \cdot k = 0$	$\partial_y \cdot \partial_z K = 0$
traceless	$k' = 0$	$\partial_z^2 K = 0$
<del><math>y^2 = -1</math></del>	$(y \cdot \partial_y + s + 1)k = 0$	$(y \cdot \partial_y + z \cdot \partial_z + 1)K = 0$

# Mapping to symmetric representation:

$$(y \cdot \partial_y + z \cdot \partial_z + 1)K = 0$$

$$y \cdot \partial_z K = 0$$

EOM

$$\partial_y^2 K = 0$$

$$\partial_z^2 K = 0$$

$$\partial_y \cdot \partial_z K = 0$$

$$(p \cdot \partial_p + 1/2)\Phi = 0$$

$$(q \cdot \partial_q + 1/2)\Phi = 0$$

$$\partial_p^2 \Phi = 0$$

$$\partial_q^2 \Phi = 0$$

$$\partial_p \cdot \partial_q \Phi = 0$$

EOM

First-class constraints

This gives a 3+3 dimensional representation.

One likes to extend this to the nonlinear level.

## VII. SYMMETRIC GAUGE

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- ▶ Bi-local field theory is symmetric:

$$\Psi(x_\mu^1, x_\mu^2)$$

- ▶ There is a symmetric gauge in Vasiliev's theory:

$$F(y, \bar{y}; z, \bar{z})$$

- ▶ Solving the zero curvature equation using the pure gauge solution

$$W_\mu = g^{-1} * \partial_\mu g$$

- ▶ Fixing the gauge  $g(\mathbf{x})=I$ , one ends up with the equations

$$d_Z S + S * S = B * (K dz^2 + \bar{K} d\bar{z}^2)$$

$$d_Z B + S * B - B * \pi(S) = 0$$

# W=0 gauge

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- ▶ In components, one has five independent equations

$$F_{z^1 \bar{z}^2} = \partial_1 \bar{S}_2 - \bar{\partial}_2 S_1 + [S_1, \bar{S}_2]_* = 0$$

$$F_{z^2 \bar{z}^1} = \partial_2 \bar{S}_1 - \bar{\partial}_1 S_2 + [S_2, \bar{S}_1]_* = 0$$

$$F_{z^1 \bar{z}^1} = \partial_1 \bar{S}_1 - \bar{\partial}_1 S_1 + [S_1, \bar{S}_1]_* = 0$$

$$F_{z^2 \bar{z}^2} = \partial_2 \bar{S}_2 - \bar{\partial}_2 S_2 + [S_2, \bar{S}_2]_* = 0$$

$$F_{z^1 z^2} * K = F_{\bar{z}^1 \bar{z}^2} * \bar{K}$$



Reality condition for the B field

# Analog with self-dual Yang-Mills

▶ **HS** **SDYM**

$$F_{z^1 \bar{z}^2} = 0$$

$$F_{yz} = 0$$

$$F_{z^2 \bar{z}^1} = 0$$

$$F_{\bar{y}\bar{z}} = 0$$

$$F_{z^1 \bar{z}^1} + F_{z^2 \bar{z}^2} = 0$$

$$F_{y\bar{y}} + F_{z\bar{z}} = 0$$

$$F_{z^1 \bar{z}^1} - F_{z^2 \bar{z}^2} = 0$$

$$F_{z^1 z^2} * K = F_{\bar{z}^1 \bar{z}^2} * \bar{K}$$

▶ **Coordinates:**  $z^1 = y, \quad \bar{z}^1 = \bar{y}, \quad z^2 = \bar{z}, \quad \bar{z}^2 = z$

# An ansatz:

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► Using the ansatz

$$\begin{aligned} S_1 &= M^{-1} * \partial_1 M, & S_2 &= \bar{M}^{-1} * \partial_2 \bar{M}, \\ \bar{S}_1 &= \bar{M}^{-1} * \bar{\partial}_1 \bar{M}, & \bar{S}_2 &= M^{-1} * \bar{\partial}_2 M. \end{aligned}$$

►  $F_{12}$  and  $F_{21}$  are solved,  $F_{11}$  and  $F_{22}$  become

$$\bar{\partial}_1 (J^{-1} * \partial_1 J) = 0 \quad (\text{I a})$$

$$\partial_2 (J^{-1} * \bar{\partial}_2 J) = 0 \quad (\text{I b})$$

where  $J \equiv M * \bar{M}^{-1}$  is a (residual) gauge invariant quantity.

► The last equation becomes

$$\partial_2 (J^{-1} * \partial_1 J) * K + \bar{\partial}_1 (J^{-1} * \bar{\partial}_2 J) * \bar{K} = 0 \quad (\text{II})$$

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- ▶ We now have equations for a single scalar field:

$$J(y, \bar{y}; z, \bar{z})$$

- ▶ Equation (Ia, Ib) can be thought of as constraints giving a reduction:

$$4+4 \longrightarrow 3+3$$

- ▶ Equation (II) represents an equation of motion
- ▶ One can expect that an Action can be written down for this system
- ▶ Closest in form to the covariant version of collective field equation of motion

## VIII. Related Questions / Work

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- ▶ Construction of a strong operator AdS HS theory correspondence:

$$\Phi = W|_{\text{gauge}}$$

- ▶ Intertwining map: generating the  $\text{AdS}_4$  as an **Emergent** space-time
- ▶ Action:  $S = \text{Tr} \left( (\partial_1^2 + \partial_2^2) \Phi + \ln \Phi \right)$

and the Hamiltonian:

$$H = \text{Tr} \left( \frac{1}{2} \Pi \Psi \Pi + (\nabla_1^2 + \nabla_2^2) \Psi + \frac{N}{\Psi} \right)$$

represents a covariant and time-like gauge fixing of Vasiliev's theory, respectively.

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- ▶ Interesting question of integrability, higher conservation laws...
  - ▶ Question of quantum corrections (loop)
  - ▶ It is relevant to extend our construction to other cases, for example  $\text{AdS}_3 \rightarrow \text{CFT}_2$  HS studied by

Gaberdiel, Gopakumar, Hartman,  
Henneaux, Rey  
Castro, Maloney

- ▶  $S_N$  symmetric  $\text{CFT}_2$  considered by

AJ, Mihailescu, Ramgoolam '99